RETIREMENT PLAN RECORDKEEPING

Helping Financial Advisors Retain Clients & Assets

AGH
CPAs & ADVISORS

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WE'LL MAKE IT EASY TO HELP YOU GROW BUSINESS

Our job is to make your job easy. You build the relationship, and we'll handle the technical aspects of retirement plan recordkeeping for you. From helping you find prospects to building a proposal that wins their business and designing an investment and educational plan that fits their needs, we know how to support advisors and their plan sponsors through the regulatory hurdles.

Here's How We Help You & Your Team:

FIND: Our sales prospecting tools help you identify and evaluate potential clients to focus on the best prospects.

BENCHMARK & BUILD: Based on your prospect's needs, experienced plan consultants can work with you to benchmark the current plan, design a customized retirement plan and answer your technical questions.

We'll Make it Easy to Help Your Clients Recruit & Retain Top Talent

In today's talent wars, an attractive retirement plan is a critical recruitment and retention tool. But your clients – the plan sponsors – want one that's easy to manage, cost-effective, and meets regulatory requirements. Just as we do for you, we'll help your clients by handling the complex technical and regulatory issues and providing top-notch service for employees.

Visit us online at aghebs.com or call to learn more about how we can help you build your retirement plan business: 1.844.291.4070.

Here's How We Help Your Clients & Their Employees, from Enrollment to Retirement:

PLAN DESIGN & CONSULTING:

Based on the client's goals for the plan, employee population, assets and risk tolerance, we'll work with you and the client to design a retirement plan tailored to each individual company. This includes:

- **Fee Transparency:** Effective plan design means fees that are clear and easily understood by both plan sponsors and participants.
- **Investment Choices:** Your clients and their employees aren't limited to a few fund families. As a standalone recordkeeper, AGH offers 25,000+ investment options.
- **Fiduciary Liability Help:** Our deep experience with ERISA and DOL requirements helps ensure that your clients' retirement plans are compliant. Fiduciary responsibility remains the plan sponsor's, but we handle much of the legwork to simplify your clients' reporting burden.

ENROLLMENT:

Partnering with you as the advisor, we'll work with your client's employees, providing education about the new plan's options and the differences from their previous plan.

CONVERSION:

Our experienced conversion team guides the client step-by-step, providing employee notification guidelines and communication materials to make the transition as seamless as possible for both plan sponsors and participants.

CLIENT SERVICE:

Once your clients are on board, our focus broadens to keeping plan participants informed and educated about their retirement plan. 24/7 access to a customized website gives plan participants instant information, backed by the personal attention available from our call center associates.

EDUCATION:

Based on your needs and your client's requirements, we can provide employee education on topics ranging from retirement planning, types of investments, and living on retirement savings. Through our online tools, we can provide participant-level electronic investment advisory services.

TESTING & REPORTING:

AGH handles all qualification tests and limits for the plan sponsor, provides a signature-ready Form 5500 and preparation and filing of 1099Rs.

$ SELL: We provide proposal and presentation templates and sales support to help minimize the time you have to spend developing materials before the meeting.

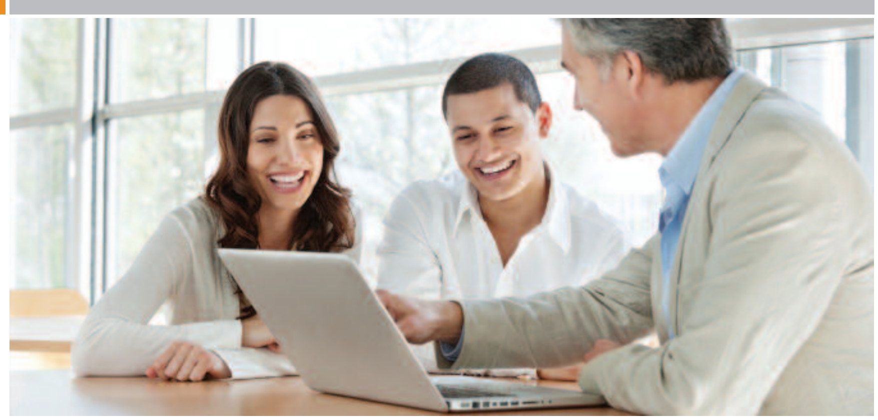
MONITOR: Our online advisor portal will help you keep track of your clients' plans 24/7.

SATISFY: Our current clients rate us very highly every year through a "report card" review – we'll give the same top-notch service to your clients.

EVALUATE: We'll work with you to create a customized annual plan review you can present to your clients, along with any regulatory updates or other recommendations to help ensure compliance with fiduciary responsibility duties.

Learn More

To schedule a demo of our online plan sponsor and participant website and online investment education, call us at **1.844.291.4070**.



MEET OUR TEAM

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Why AGH Employee Benefit Services?

TECHNICAL EXPERTISE:

Built on the rigor of a CPA firm, AGH Employee Benefit Services' recordkeeping group understands the underlying regulatory requirements and ERISA/DOL law guiding retirement plans. Our team's credentials include Certified Pension Consultants, Qualified 401(k) Administrators and other pension and benefit specialists, and our department undergoes an annual SOC 1 review.

CLIENT SERVICE:

We currently manage plans for organizations ranging in size from 10 to 10,000 active participants and assets ranging from $300,000 to nearly one billion; our annual evaluations reflect high client satisfaction from both plan participants and plan sponsors. Once we begin working with a client, it typically becomes a long-term relationship. Our team is big enough to offer deep experience and broad expertise, but small enough that every client is important.

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